



Travelers Casualty and Surety Company of America
Hartford, Connecticut

Throughout this supplement "you" and "your" mean the entity or individual applying for this insurance.

APPLICANT INFORMATION

1. [] New Business [] Current Travelers policy number _____

2. Your Full legal name _____

GENERAL INFORMATION

3. Please complete the following chart based upon the five largest Estates or Trusts for which you provided legal services in the past five years:

Table with 6 columns: Name of Estate or Trust, Date Established, Attorney, Description of Services Provided, Approximate Value, % of Attorney's Total Billings. It contains five empty rows for data entry.

4. Do services for these clients include business formation, management, or other business transactions?.... [] Yes [] No

5. Do you or any of your attorneys ever serve as Executors or Personal Representatives of estates (not including their own families)?..... [] Yes [] No
If yes, please describe.

6. Do you allow involved attorneys to accept gifts or bequests from Estates & Trusts clients?..... [] Yes [] No

7. Do services for any estates and trusts clients include investment decisions resulting in the purchase or sale of:
a. securities?..... [] Yes [] No
b. real estate?..... [] Yes [] No
c. other investments?..... [] Yes [] No
If yes to any part of question 7, please describe:

8. Do you receive compensation from the purchase or sale in the form of a commission or fee?..... [] Yes [] No
If yes, please describe:

9. How often do you require an independent audit or reconciliation of active estates or trusts?..... [] Quarterly [] Annually [] Never

If Never, please explain:

10. For your estates and trust work, are regular reports to a court or any other outside authority required?.. Yes No
If No, please explain:

11. Please complete the following chart estimating the percentage of your estates and trusts work that would fall within each Category according to size:

Total Assets	Estimated Percentage of Estates and Trusts Work
<\$5M	%
<\$10M	%
>\$10M	%

12. Please describe the controls in place to monitor trust activity by a third party, trust beneficiaries, or other parties who are not trust beneficiaries: _____

RISK MANAGEMENT

13. Do you have written procedures requiring cold review by a second attorney of any new will, estate or trust?..... Yes No

14. Are dual signatures required on all trust documents?..... Yes No

FRAUD WARNINGS

Attention: Insureds in AR, CO, DC, KY, LA, NJ, NM, NY, and OH

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and may also be subject to a civil penalty.

(In New York, the civil penalty is not to exceed five thousand dollars and the stated value of the claim for each such violation.)

(In Colorado, any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.)

Attention: Insureds in FL

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a felony of the 3rd degree, and may also be subject to a civil penalty.

Attention: Insureds in ME, TN, VA, and WA

It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits.

Attention: Insureds in PA

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

SIGNATURE AND AUTHORIZATION

The undersigned authorized representative of the firm, or individual if this application is for an individual, agrees to all of the following:

- The statements and representations made in this application are true and complete and will be deemed material to the acceptance of the risk assumed by Travelers in the event an insurance policy is issued.
- If the information supplied in this application changes between the date of the application and the effective date of any insurance policy issued by Travelers in response to this application, you will immediately notify us of such changes, and we may withdraw or modify any outstanding quotation or agreement to bind coverage.
- Travelers is authorized to make an investigation and inquiry in connection with this application.
- Travelers is not bound or obligated to issue any insurance policy or to provide the insurance requested in this application.

Signature (Partner, Member, Officer, Shareholder) Date

Name (print) Title

If you apply your signature to this form electronically, you hereby consent and agree that your use of a key pad, mouse, or other device to click the "Accept" button constitutes your signature, acceptance, and agreement as if actually signed by you in writing and has the same force and effect as a signature affixed by hand.

Accept

Important note: This application is not a representation that coverage does or does not exist for any particular claim or loss, or type of claim or loss, under any insurance policy issued by Travelers. Whether coverage exists or does not exist for any particular claim or loss under any such policy depends on the facts and circumstances involved in the claim or loss and all applicable wording of the policy actually issued.

ADDITIONAL INFORMATION

In the section below you may provide additional information to any of the questions in this supplement (please reference the question number).