Carolina Casualty Insurance Company 4600 Touchton Road East, Building 100, Suite 400, Jacksonville, FL 32246

Insurance Defense Supplemental Form

Lawyers' Professional Liability Insurance

CLAIMS MADE WARNING FOR APPLICATION

THIS PROPOSAL FORM IS FOR A CLAIMS MADE AND REPORTED POLICY, RELATING TO CLAIMS MADE AGAINST THE INSUREDS DURING THE POLICY PERIOD, THE AUTOMATIC EXTENDED REPORTING PERIOD OR THE PURCHASED EXTENDED REPORTING PERIOD IF APPLICABLE

		NT FIRM'S INSTRUCTIONS	E CEDADATE ATTACHMENT	
	IF SPACE IS INSUFFICIENT TO ANSWER AN	Y QUESTIONS FULLY, PROVID	E SEPARATE ATTACHMENT	
	Name of Each Attorney Who Performs Insurance Defense Work	Number of Years Insurance Defense Experience	Percentage of Time Devoted to Specialization	
	Provide a list of the Applicant Firm's major insurance comp	pany clients.		
	What type of defense work is provided (e.g., products liabil	lity, auto, medical malpractice, etc.)?		
	Does the Applicant Firm: (a) represent multiple insureds/defendants in litigation?			
	(a) represent multiple insureds/defendants in litigation?			
	(b) draft insurance policies or endorsements?(c) ever make reserve recommendations to the insura(d) use a standard engagement letter when retained to	o componi/2	☐ Yes ☐	
		• •	☐ Yes ☐	
	5 5		☐ Yes ☐ ☐ Yes ☐	
		It Firm provide coverage opinions to the insurance company? Ide a percentage of insurance defense where a coverage opinion is provided:		
	(a) If "Yes", provide a percentage of insurance defense w(b) If "Yes", are such opinions provided in the same case policyholder?		esenting the	
	Is there a standard format and schedule within the Applica	vithin the Applicant Firm for reporting to the insurance company?		
	Does the Applicant Firm ever act as supervisory counsel for an insurance company (i.e., assigning and supervising defense counsel in addition to making a coverage determination and setting reserves on cases)? If "Yes", provide full details on the extent and the companies for which supervision is due.			
	rstand that the information submitted herein becomes a p	art of the Applicant Firm's Lawyers' F	rofessional Liability Proposal Form a	
		Title:		
na	ture of Partner, Owner, Officer or Principal			
		Dated:		
nt	Name Please submit this Proposa Monitor Liability Managers, Inc., 2850 Wes	Il Form including appropriate document st Golf Road, Suite 800, Rolling Meador		
		Dated:		
n	nitted by (PRODUCER)			
ĒĪ	NT'S NAME (Please Print Name Here)	AGENT'S	AGENT'S LICENSE NUMBER	

LPL 29670 (rev. 05-99) Page 1 of 2

Carolina Casualty Insurance Company

NOTICE TO COLORADO APPLICANTS: IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE, AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICY HOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICY HOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AGENCIES.

NOTICE TO NEW YORK APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.

NOTICE TO NEW MEXICO, PENNSYLVANIA APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO APPLICANTS OF KENTUCKY: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUDS OR DECEIVES ANY INSURER OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO, IS GUILTY OF A CRIME AND IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO APPLICANTS OF FLORIDA, MINNESOTA, NEW JERSEY, OHIO, AND OKLAHOMA: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUDS OR DECEIVES ANY INSURER OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO, IS GUILTY OF A FELONY AND IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO DISTRICT OF COLUMBIA, MASSACHUSETTS, TENNESSEE, AND VIRGINIA APPLICANTS: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS.

<u>NOTICE TO MAINE APPLICANTS:</u> IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES OR DENIAL OF INSURANCE BENEFITS.

LPL 29670 (rev. 05-99) Page 2 of 2